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# EECE 491: Discrete-time Signal Processing

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## Lecture 2: Review of Signals, Systems, and Signal Processing

# Announcements

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- **Reading**
  - Chapter 1, Proakis

# Outline

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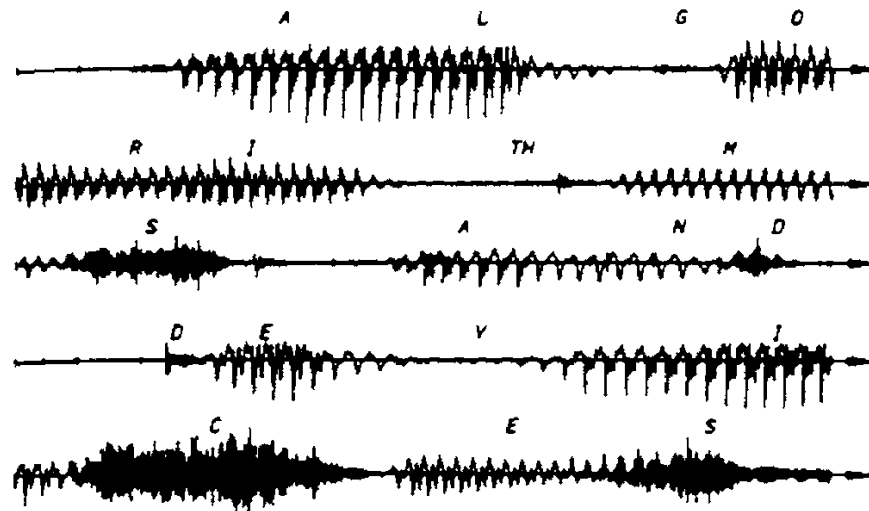
- **Signals and signal types**
  - Continuous-time vs. discrete-time frequency
  - Harmonically-related complex exponentials
- **SP systems**
- **Sampling**
- **A/D and D/A**
- **Quantization**
  - SQNR

# Signals

- **Signal:** Any physical quantity that varies with time, space or other variables
  - $s_1(t) = 5t$
  - $s_2(t) = 20t^2$
  - $s(x,y) = 3x + 2xy + 10y^2$
- **Other examples include signals that cannot be expressed by a formula**
  - Ex: speech signal
  - Approximate as a sum of sinusoids

$$\sum_{i=1}^N A_i(t) \sin(2\pi F_i(t)t + \theta_i(t))$$

- **Other natural signals:**
  - ECG signal, EEG signal



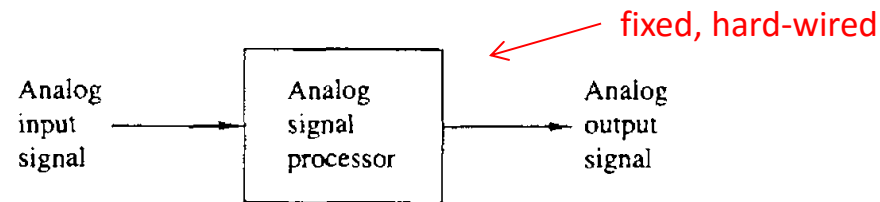
# Signal Processing Systems

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- **Any system that performs operations on a signal**
  - Ex: A filter that reduces noise and interference corrupting an info bearing signal
  - As the signal passes through the system, it gets processed
- **Signal processing**
  - Analog signal processing
  - Optical signal processing
  - Digital signal processing: Includes both hardware (logic circuits) and software (a program that runs on a DSP) realizations
- **Focus is on DSP**
  - Operations performed are specified mathematically
  - The method of implementing a system that performs these operations is called a **DSP algorithm**
  - Many ways to implement the algorithm
  - Interested in devising *computationally efficient, fast, and easy to implement* algorithms

# Basic Elements of a DSP System

- **Most signals in science and engineering are analog in nature**
  - Functions of continuous variables in time or space
  - Take values in a continuous range
- **Can process directly using by an analog system**
  - Ex: Filter, frequency analyzer, frequency multiplier

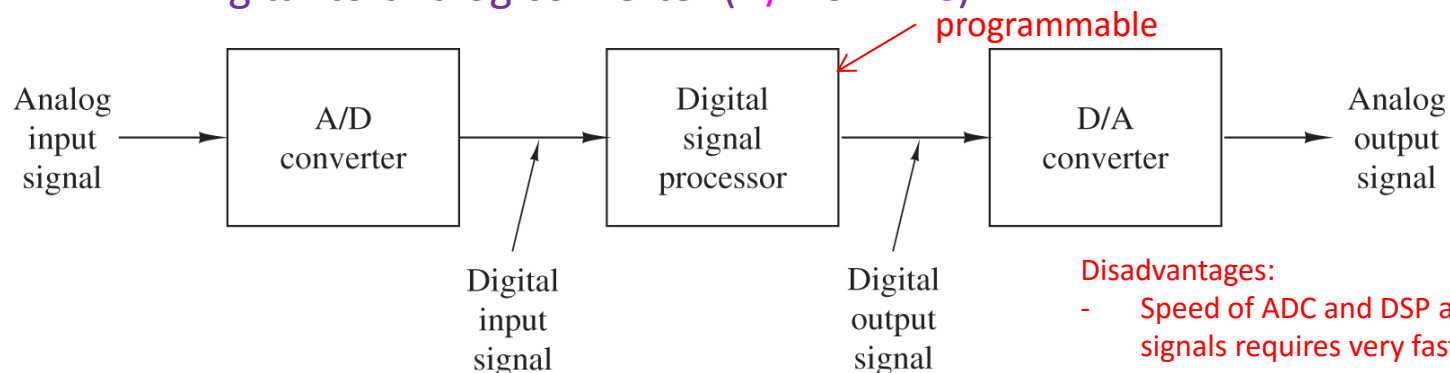


- **Alternatively, can process using a DSP**

- Need analog-to-digital converter (A/D or ADC)
- Digital-to-analog converter (D/A or DAC)

Advantages:

- Better accuracy
- Stored without loss of fidelity
- Cheaper



Disadvantages:

- Speed of ADC and DSP are limited. Wideband signals requires very fast ADCs and DSPs

# Classification of Signals

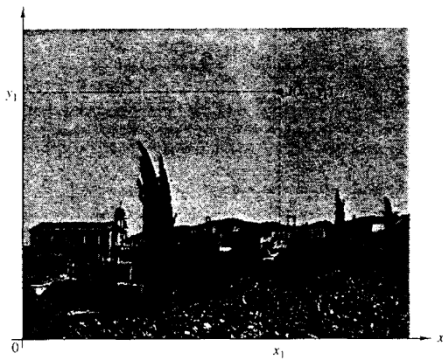
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- **Multichannel** and **multidimensional** signals
- **Continuous-time** versus **discrete-time** signals
- **Continuous-valued** versus **discrete-valued** signals
- **Deterministic** versus **random** signals

# Multichannel and Multidimensional Signals

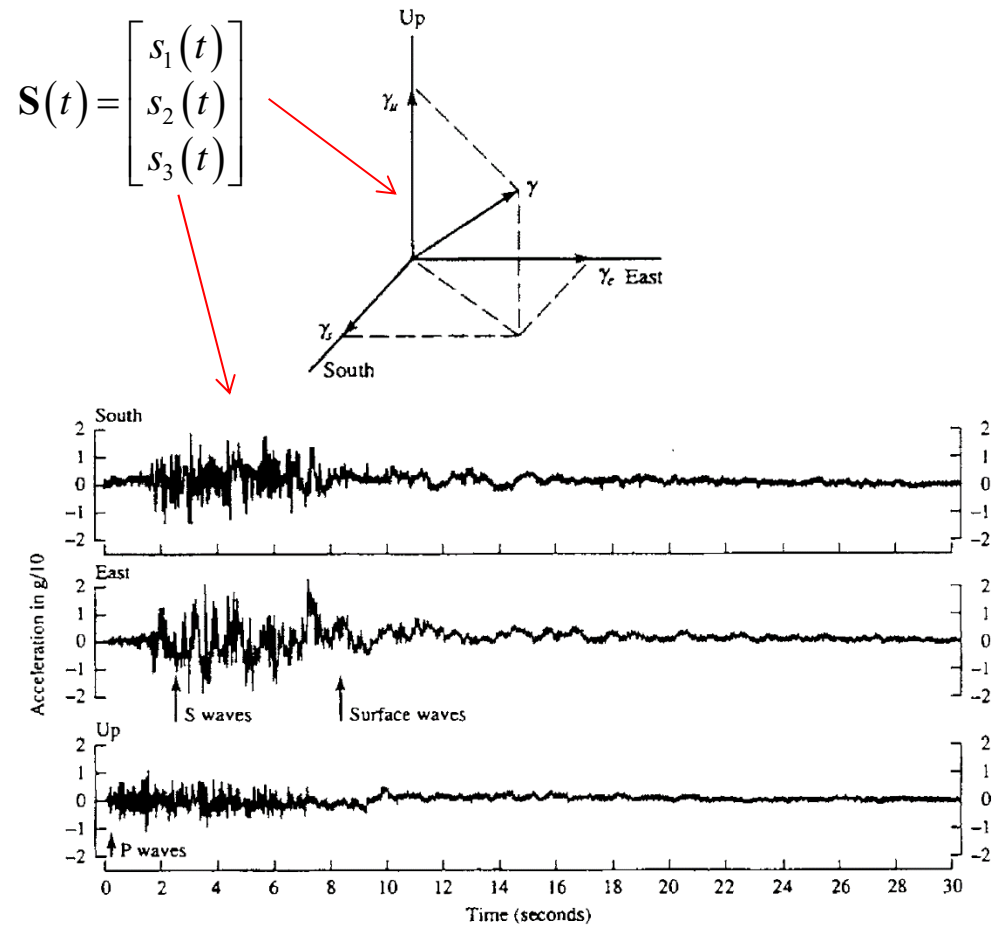
- 1D real-valued signal:  $s_1(t) = A \sin(3\pi t)$
- 1D complex-valued signal:  $s_2(t) = Ae^{j3\pi t} = A \cos(3\pi t) + j A \sin(3\pi t)$
- Seismic wave signal: multi-dimensional vector signal

- Ex: 2D picture signal
  - $I(x,y)$  intensity function



- Ex: Video signal: red, green, blue intensities varying in time

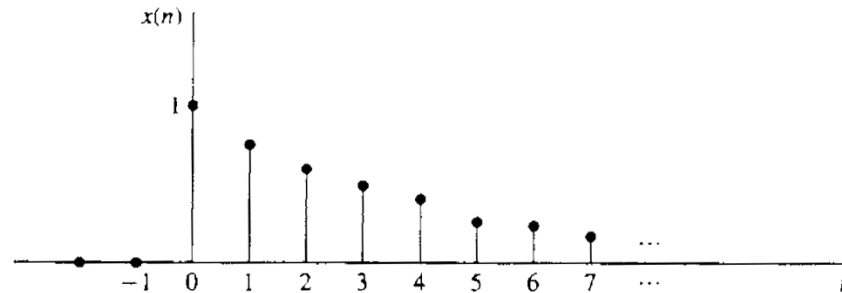
$$\mathbf{I}(x, y, t) = \begin{bmatrix} I_r(x, y, t) \\ I_g(x, y, t) \\ I_b(x, y, t) \end{bmatrix}$$



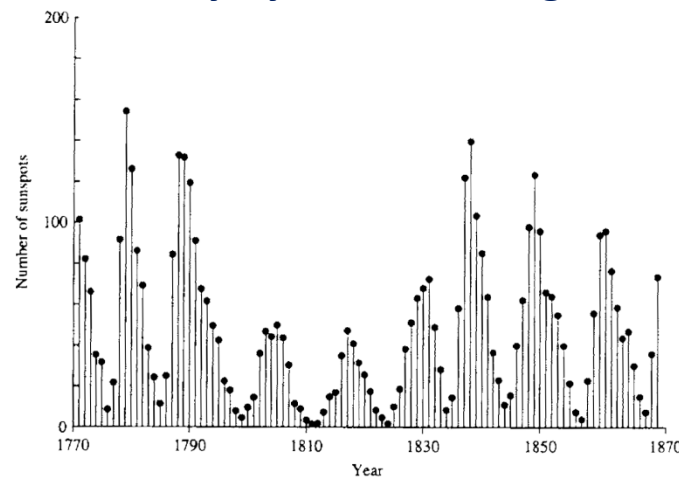


# Continuous-Time (CT) vs. Discrete-Time (DT) Signals

- Example:  $x_a(t) = 0.8^t$  if  $t > 0$  and 0 otherwise
- $x[n] \triangleq x_a(nT) = 0.8^{nT}$

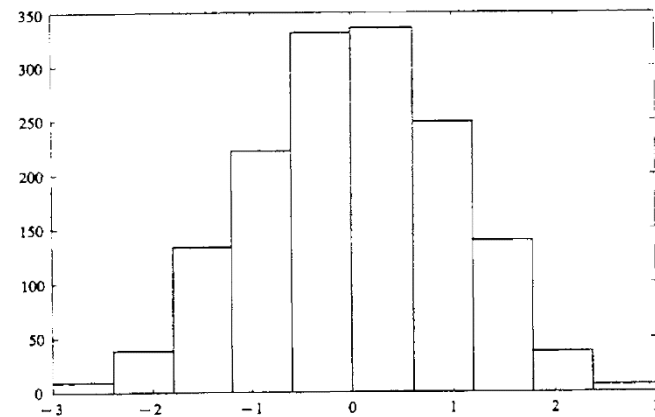
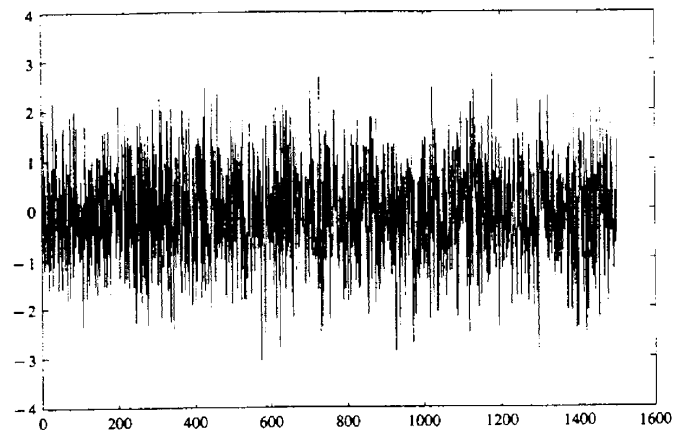
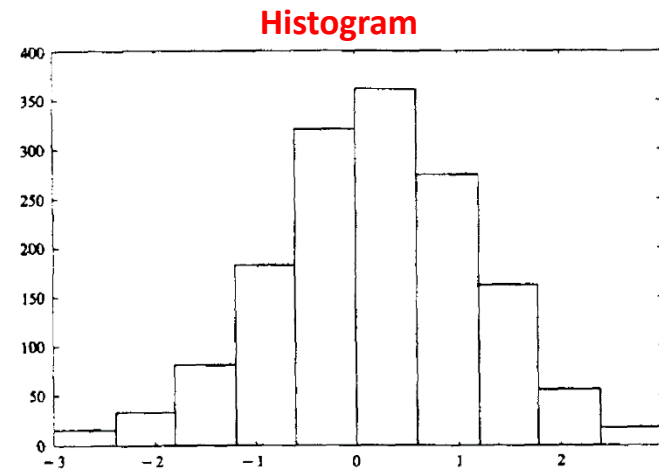
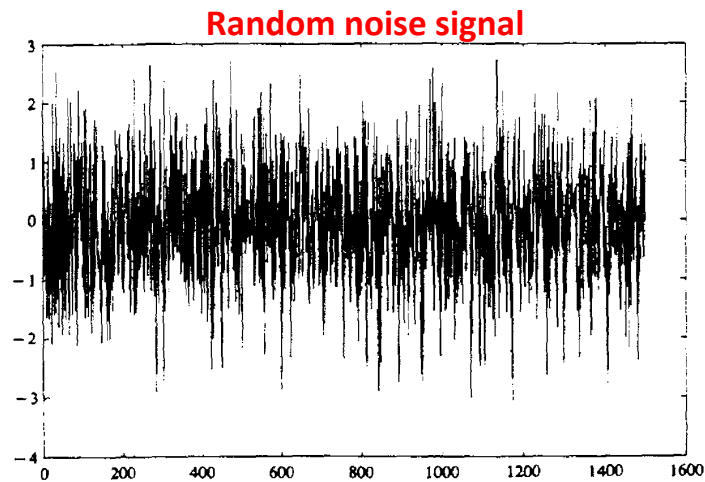


- The discrete-time signal was obtained by **sampling** a continuous-time signal at equally spaced time instances  $t_n = nT$
- DT signals can arise naturally by accumulating a variable over a period of time



# Deterministic vs Random signals

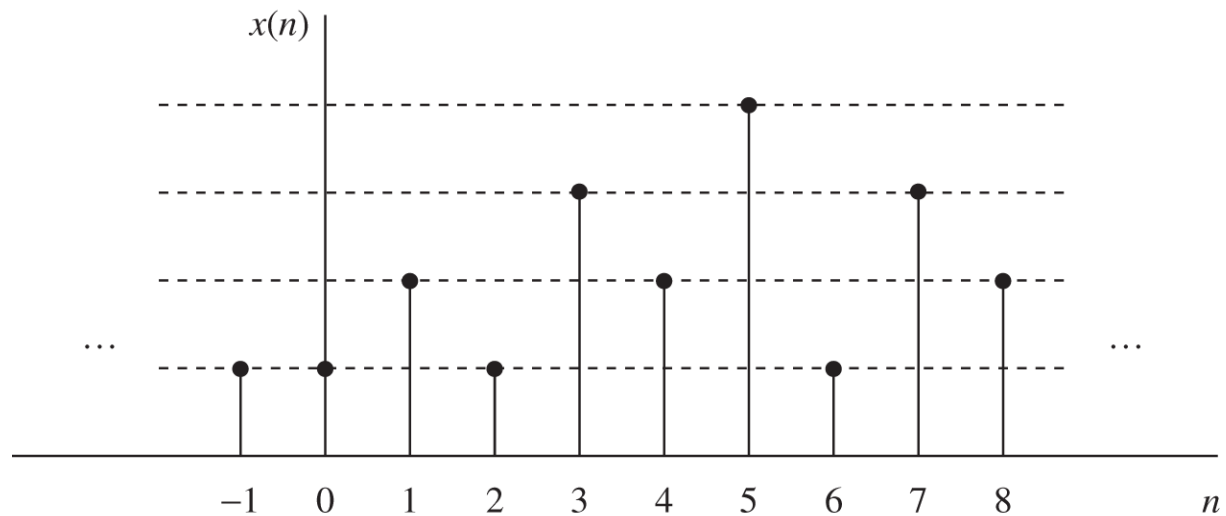
- Signals sometimes cannot be fully specified because they evolve in an unpredictable way
  - Analyzed and described using statistical techniques



# Continuous-Valued vs Discrete-Valued Signals

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- Values of a CT and DT signals can be continuous or discrete
- Discrete valued DT signals are called digital signals
- Digital signals are obtained by **quantizing** a **sampled** CT signal

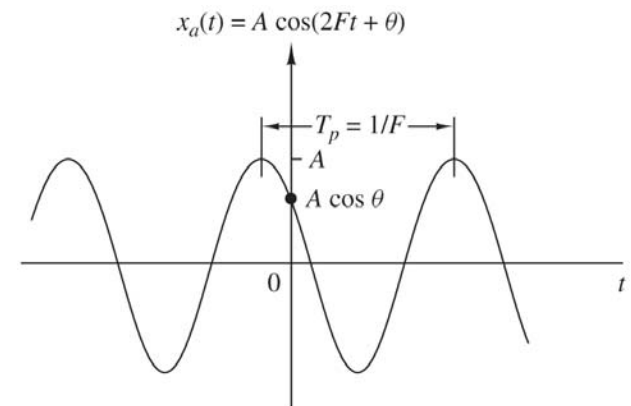


# Frequency of a CT Signal

- $x_a(t) = A\cos(\Omega t + \theta)$ ,  $-\infty < t < \infty$ 
  - A: Amplitude
  - $\Omega$ : Frequency in rad/sec;  $\Omega = 2\pi F$ ,  $F$  is frequency in cycles/sec (Hz)
  - $\theta$ : Phase in radians

- **Properties of analog sinusoidal signals**

- For any fixed  $F$ ,  $x_a(t)$  is periodic with  $T_p = 1/F$  being its fundamental period
- CT sinusoidal signals with different frequencies are themselves different
- Increasing  $F$  results in an increase in the rate of oscillation

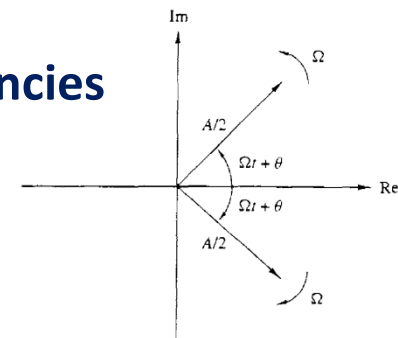


- **These properties apply to class of complex-exponential signals as well**

- $x_a(t) = Ae^{j(\Omega t + \theta)} = A\cos(\Omega t + \theta) + jA\sin(\Omega t + \theta)$

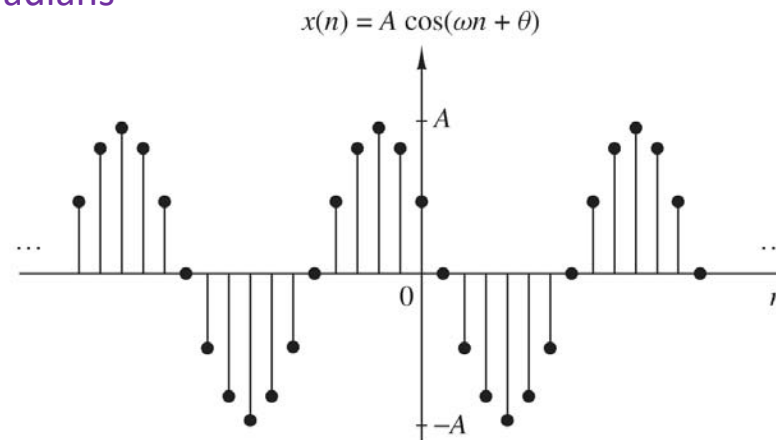
- **For mathematical convenience we use negative frequencies**

- $\cos(\Omega t + \theta) = A/2 e^{j(\Omega t + \theta)} + A/2 e^{j(\Omega t - \theta)}$
- Phasor representation



# Frequency of a DT Signal

- $x[n] = x_a(nT) = \cos(\omega n + \theta)$ ,  $-\infty < n < +\infty$ 
  - A: Amplitude
  - $\omega = 2\pi f$ : Frequency in rad/sample;  $f$  is frequency in cycles/sample (Hz)
  - $\theta$ : Phase in radians



## 1. Properties of DT sinusoidal signals: A DT **sinusoid** is periodic with period $N$ if $f$ is rational.

- Periodic: Smallest  $N$  that satisfies  $x[n+N] = x[n]$  for all  $n$  is called **fundamental period**
- Ex: for a sinusoid with freq  $f_0$ :  $\cos(2\pi f_0(N+n) + \theta) = \cos(2\pi f_0 n + \theta) \Rightarrow \exists k \in \mathbb{Z} : 2\pi f_0 N = 2k\pi$
- Ex:  $f_1 = 31/60 \Rightarrow N_1 = 60$
- Ex:  $f_2 = 30/60 \Rightarrow N_2 = 2$

## Properties of DT Sinusoidal Signals (cont'd)

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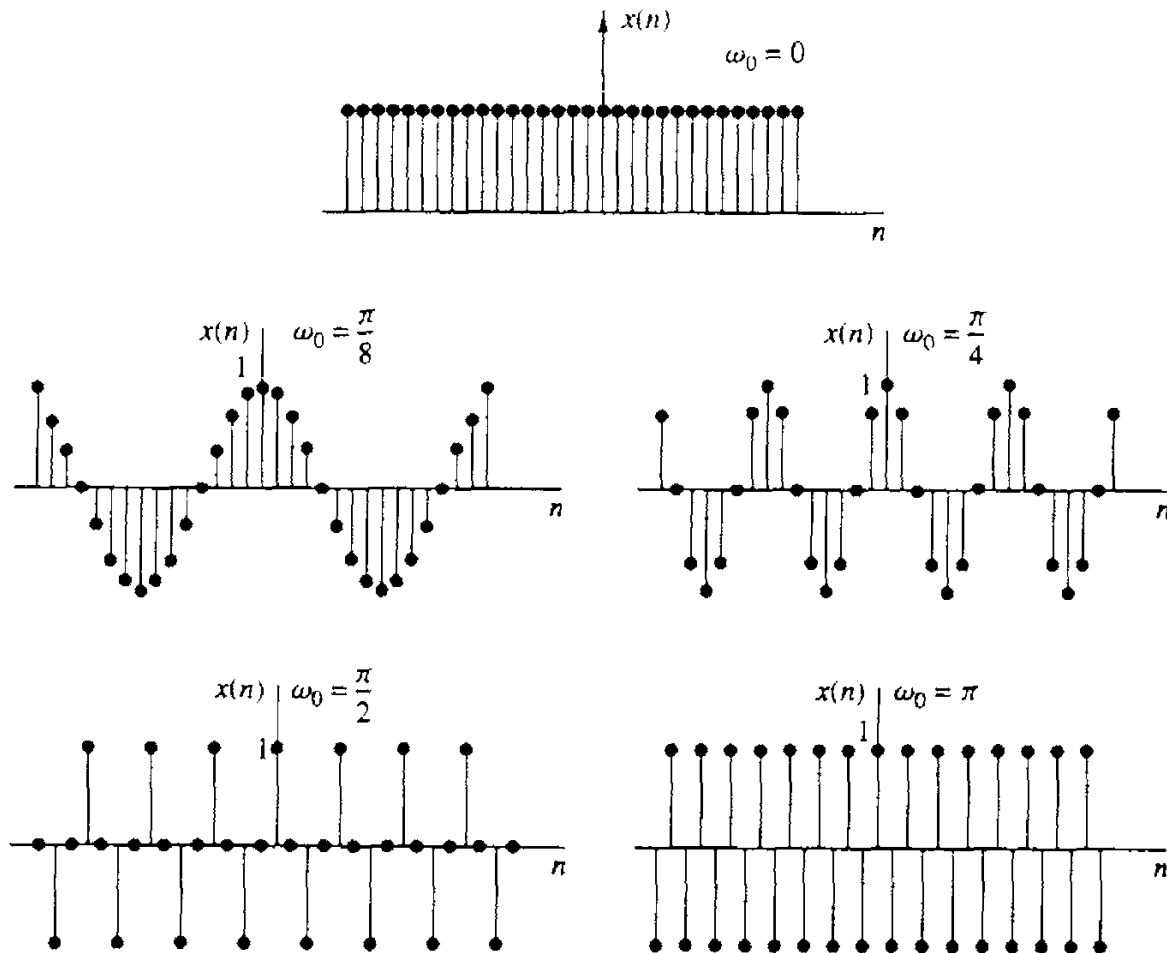
2. DT sinusoids whose frequencies are integer multiples of  $2\pi$  are identical

$$\cos((\omega_0 + 2\pi)n + \theta) = \cos(\omega_0 n + \theta)$$

- Hence, all sinusoidal sequences  $x_k(n) = A\cos(\omega_k n + \theta)$ ,  $k = 0, 1, \dots$  are identical when  $\omega_k = \omega_0 + 2\pi k$ , where  $-\pi \leq \omega_0 \leq \pi$
- Any sequence resulting from a sinusoid with freq  $|\omega| > \pi$  is identical to a sequence obtained from a sinusoidal signal with  $|\omega| < \pi$
- Sinusoids with  $|\omega| > \pi$  are called **aliases**
- Frequencies in the range  $-\pi \leq \omega \leq \pi$  or  $-1/2 \leq f \leq 1/2$  are unique
  - Frequencies in the range  $|\omega| > \pi$  or  $|f| > 1/2$  are aliases
- Ex: for  $\pi \leq \omega_0 \leq 2\pi$ , consider two sinusoids with freqs  $\omega_1 = \omega_0$  and  $\omega_2 = 2\pi - \omega_0$ 
  - $x_1[n] = A\cos(\omega_1 n + \theta)$
  - $x_2[n] = A\cos(\omega_2 n + \theta) = A\cos((2\pi - \omega_0)n + \theta) = x_1[n]$

# Properties of DT Sinusoidal Signals (cont'd)

3. The highest rate of oscillation is attained when  $\omega = \pm \pi$  or  $f = \pm 1/2$



# Harmonically-Related Complex Exponentials (HRCEs)

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- **Harmonically-Related Complex Exponentials: Set of periodic complex exponentials with **fundamental frequencies** multiple of a **single frequency****

- **CT exponentials:**  $s_k(t) = e^{jk\Omega_0 t} = e^{j2\pi k F_0 t}$ ,  $k = 0, \pm 1, \pm 2, \dots$

- Fundamental period:  $1/(kF_0) = T_p/k$
- Fundamental freq:  $kF_0$
- Periodic signals with period  $T_p/k$  and hence also with period  $T_p$ .
- $F_0$  can take any value and all members of the set are distinct

- **Basic signals are a linear combination of HRCEs:**

$$x_a(t) = \sum_{k=-\infty}^{\infty} c_k s_k(t) = \sum_{k=-\infty}^{\infty} c_k e^{jk\Omega_0 t}$$

- $c_k$ : arbitrary complex constants
- $x_a(t)$  is periodic with fundamental period  $T_p = 1/F_0$ .
- Representation is called Fourier series expansion of  $x_a(t)$  and  $c_k$ 's are Fourier series coefficients.
- $s_k(t)$  is called the  $k^{\text{th}}$  **harmonic**



# Discrete-Time Exponentials

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- A DT complex exponential is periodic if its relative frequency is a rational number, choose  $f_0 = 1/N$
- Define the set of DT-HRCEs as  $s_k[n] = e^{j2\pi kf_0 n}$ ,  $k = 0, \pm 1, \pm 2, \dots$
- Note that  $s_{k+N}[n] = e^{j2\pi kf_0(n+N)} = e^{j2\pi n} s_k[n] = s_k[n]$
- Hence there are only  $N$  distinct DT-HRCEs in the above set
- All members have a common period of  $N$  samples
- Choose any consecutive  $N$  complex exponentials to form the set of HRCEs
  - Most convenient choice:  $s_k[n] = e^{j2\pi kn/N}$ ,  $k = 0, 1, \dots, N-1$

- **Linear combination:**

$$x[n] = \sum_{k=0}^{N-1} c_k s_k[n] = \sum_{k=0}^{N-1} c_k e^{j2\pi kn/N}$$

- Periodic with period  $N$
- Called Fourier series expansion for a periodic DT signal, with Fourier coefficients  $\{c_k\}$
- $s_k[n]$  is called the  $k^{\text{th}}$  harmonic

# Example

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- Assume you have stored in memory of a DSP one cycle of the sinusoid:
  - $x[n] = \sin(2\pi n/N + \theta)$  where  $\theta = 2\pi q/N$ , where  $q$  and  $N$  are integers
  - i.e., the samples  $x[0], x[1], \dots, x[N-1]$  are stored in the processor's memory
- **Q1: Determine how this table of values can be used to obtain values of harmonically related sinusoids having the same phase**
  - That is find  $x_2[n] = \sin(2\pi n2/N + \theta)$ ,  $x_3[n] = \sin(2\pi n3/N + \theta)$ , ...,  $x_k[n] = \sin(2\pi nk/N + \theta)$
- **Answer: Let  $x_k[n] = \sin(2\pi nk/N + \theta)$  having frequency  $f_k = k/N$  which is harmonically related to  $x[n]$ .**

- $x_k[n] = \sin(2\pi nk/N + \theta) = x[kn \bmod N]$
- Read off values from memory for every  $n$  to determine all  $x_k[n]$
- *Ex: Assume  $N = 10$ , and  $k = 2$ . The 10 values of the harmonic sequence  $x_2[n]$  are:*

$x_2[0] = x[0]$	$x_2[1] = x[2]$	$x_2[2] = x[4]$	$x_2[3] = x[6]$	$x_2[4] = x[8]$	(two periods)
$x_2[5] = x[0]$	$x_2[6] = x[2]$	$x_2[7] = x[4]$	$x_2[8] = x[6]$	$x_2[9] = x[8]$	

- *Ex: Assume  $N = 10$ , and  $k = 3$ . The 10 values of the harmonic sequence  $x_3[n]$  are:*

$x_3[0] = x[0]$	$x_3[1] = x[3]$	$x_3[2] = x[6]$	$x_3[3] = x[9]$	(one period)
$x_3[4] = x[2]$	$x_3[5] = x[5]$	$x_3[6] = x[8]$	$x_3[7] = x[1]$	
$x_3[8] = x[4]$	$x_3[9] = x[7]$			

## Example (cont'd)

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- **Q2: Determine how this table of values can be used to obtain sinusoids having the same frequency but different phase.**

- That is given  $x_k[n] = \sin(2\pi nk / N + \theta)$ ,  $\theta = 2\pi q / N$

- Determine  $y_k[n] = \sin(2\pi nk / N + \theta')$ ,  $\theta' = \theta + 2\pi / N$

- **Answer:** 
$$\begin{aligned} y_k[n] &= \sin(2\pi nk / N + \theta + 2\pi k / N) \\ &= \sin(2\pi nk / N + \theta + 2\pi k / N) \\ &= \sin(2\pi(n+1)k / N + \theta) \\ &= x_k[n+1] \end{aligned}$$

- The same applies for any other phase  $\theta' = \theta + 2\pi m / N$

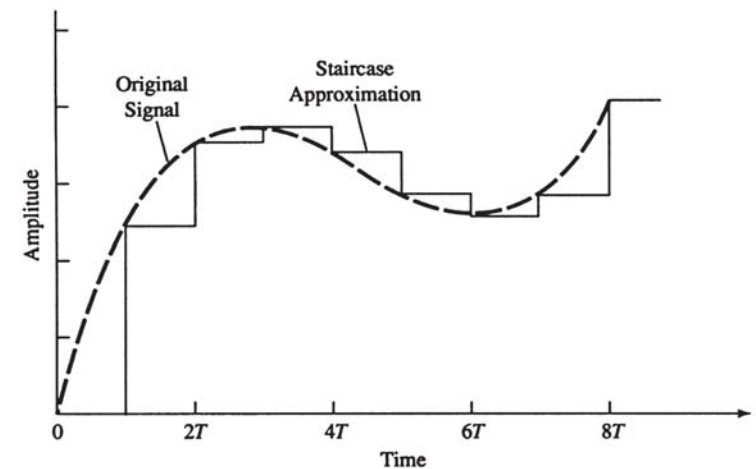
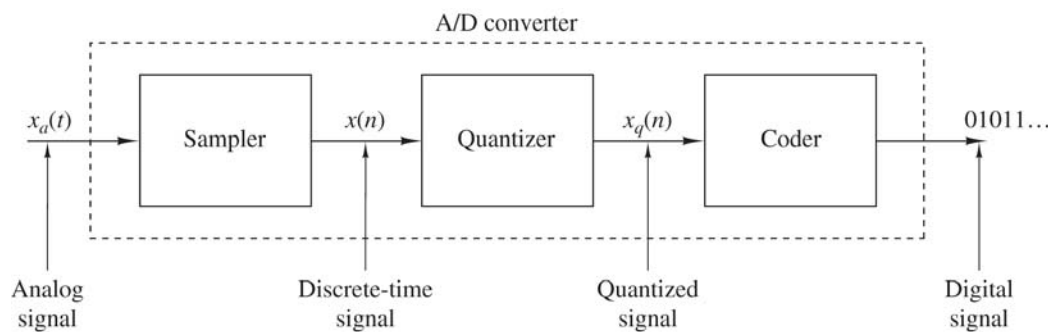
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## **A/D and D/A Conversion**

# A/D and D/A Conversion

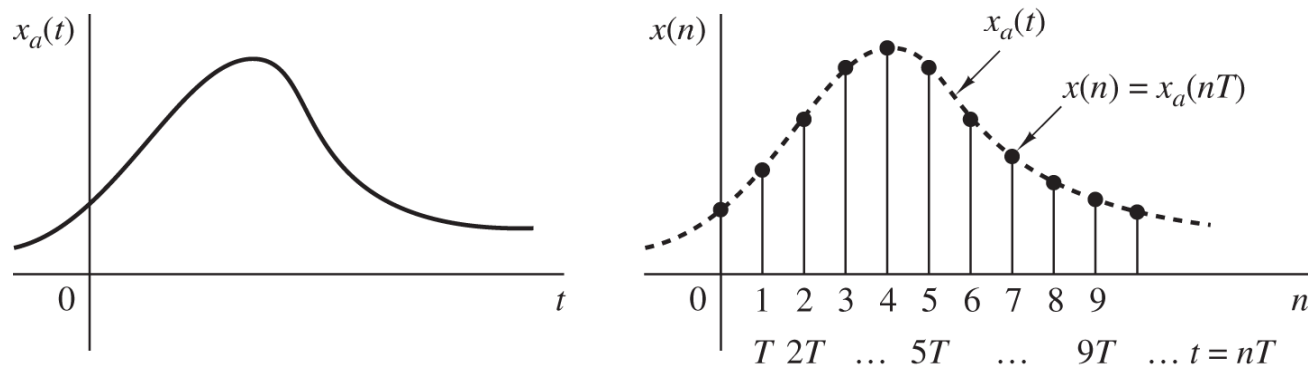
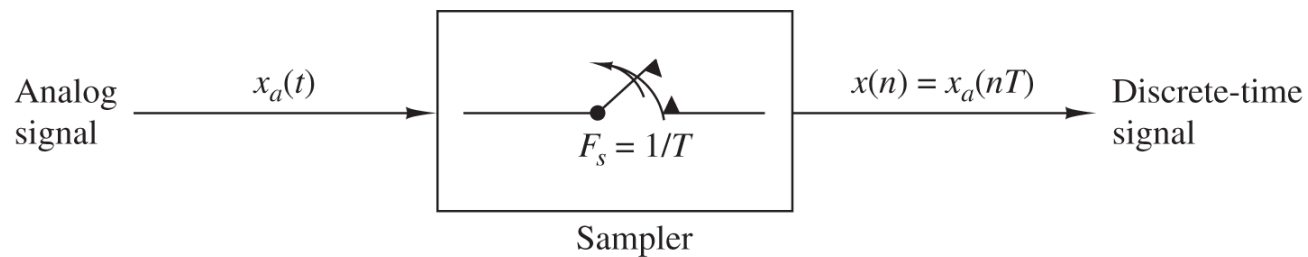
## ■ Three steps:

- **Sampling:** Take samples of a CT signal at discrete time instances:  $x[n]=x_a(nT)$ ,  $T$  is the sampling period, and  $f = 1/T$  is the sampling rate.
  - Uniform or periodic sampling
- **Quantization:** Convert a DT continuous-valued signal into a digital signal.
  - $x_q[n] = Q(x[n])$ , where  $|x[n]-x_q[n]|$  is the **quantization error**
- **Coding:** Each discrete value  $x_q[n]$  is mapped to a  $b$ -bit binary number



# Sampling of Analog Signals

- $x[n] = x_a(nT), -\infty < n < \infty$
- Sampling rate:  $F_s = 1/T$  (samples per sec, Hertz)
- Relationship between  $t$  and  $n$ :  $t = nT = n/F_s$
- Hence there exists a relationship between frequency  $F$  (analog signal) and  $f$  (DT signal)



# Sampling of Analog Signals (cont'd)

- $x_a(t) = A\cos(2\pi Ft + \theta)$ , when sampled at  $F_s = 1/T$  gives

$$x_a(nT) = x[n] = A\cos(2\pi nF / F_s + \theta) \rightarrow A\cos(2\pi nf + \theta)$$

$$\Rightarrow f = \frac{F}{F_s}$$

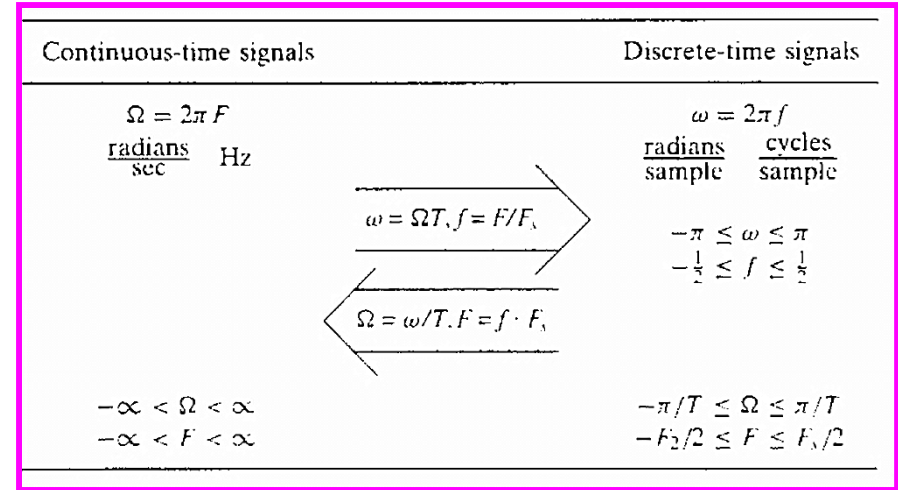
$$\Rightarrow \omega = 2\pi f = 2\pi \frac{F}{F_s} = 2\pi F \times \frac{1}{F_s} = \Omega T$$

- **CT:**  $-\infty < F < \infty$        $-\infty < \Omega < \infty$
- **DT:**  $-1/2 < f < 1/2$        $-\pi < \omega < \pi$

- **Therefore:**

$$-\frac{1}{2} \leq \frac{F}{F_s} \leq \frac{1}{2} \Rightarrow -\frac{1}{2T} = -\frac{F_s}{2} \leq F \leq \frac{F_s}{2} = \frac{1}{2T}$$

- **Hence: The frequency of a CT sinusoid when sampled at a rate  $F_s = 1/T$  must fall in the range  $-F_s/2 \leq F \leq F_s/2$**
- **Map an infinite frequency range of a CT signal into a finite frequency range for the DT signal**
- $F_{max} = F_s/2$        $x[n] = x_a(nT) = \cos(\omega n + \theta), \quad -\infty < n < +\infty$



## Example

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- Consider two CT signals sampled at  $F_s = 40\text{Hz}$ 
  - $x_1(t) = \cos 2\pi(10)t$
  - $x_2(t) = \cos 2\pi(50)t$
- Corresponding DT signals are:
  - $x_1(n) = \cos 2\pi(10/40)n = \cos(\pi/2 n)$
  - $x_2(n) = \cos 2\pi(50/40)n = \cos(5\pi/2 n) = \cos(\pi/2 n)$
  - Therefore  $x_1(n) = x_2(n)$
- The two DT signals are identical and hence they are indistinguishable
- We say that the frequency 50Hz is an alias of the frequency  $F_1 = 10\text{Hz}$  at the sampling rate of 40 samples/sec.
- Also all frequencies  $F_1 + 40k, k = 0, 1, 2, \dots$  are aliases as well



## Sampling of Analog Signals (cont'd)

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$$x_a(t) = A \cos(2\pi F_0 t + \theta)$$



sample @  $F_s = 1/T$

$$x_a[n] = A \cos(2\pi f_0 n + \theta), \quad f_0 = \frac{F_0}{F_s}$$

Two cases:

- **Case I:** If  $-\frac{F_s}{2} \leq F_0 \leq \frac{F_s}{2}$ , then  $f_0$  is in the range  $-\frac{1}{2} \leq f_0 \leq \frac{1}{2}$ . The relationship between  $F_0$  and  $f_0$  is one to one, and it is possible to reconstruct  $x_a(t)$  from  $x[n]$ .
- **Case II:** Otherwise, for sinusoids  $x_a(t) = A \cos(2\pi F_k t + \theta)$  with frequencies  $F_k = F_0 + kF_s$ ,  $k = \pm 1, \pm 2, \dots$ . If they are sampled at  $F_s$ , it is clear that  $F_k$  is outside the fundamental range. Hence the sampled signal in this case is

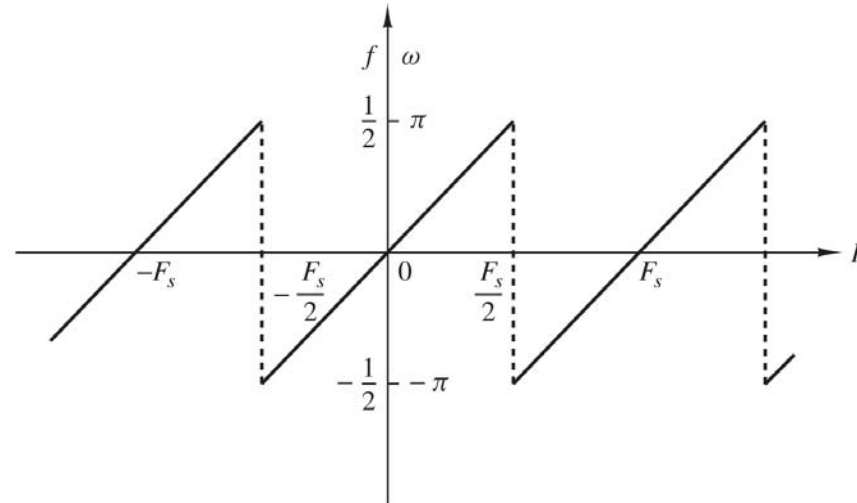
$$x_a(t) = A \cos(2\pi F_k t + \theta)$$



sample @  $F_s = 1/T$

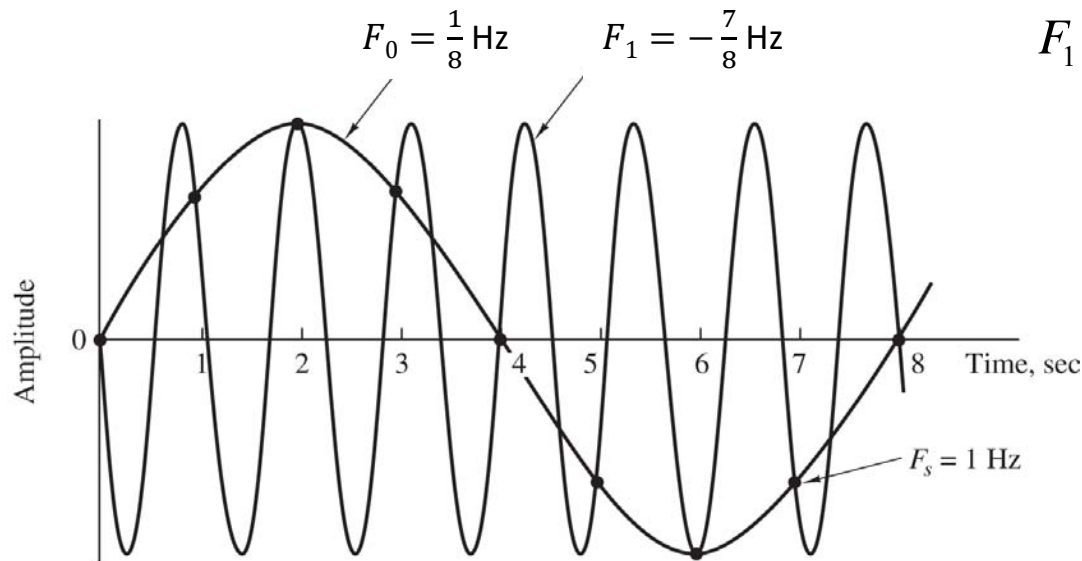
$$\begin{aligned} x[n] &= A \cos\left(2\pi \frac{F_0 + kF_s}{F_s} n + \theta\right) \\ &= A \cos\left(2\pi \frac{F_0}{F_s} n + \theta\right) \\ &= A \cos(2\pi f_0 n + \theta) \end{aligned}$$

# Sampling of Analog Signals (cont'd)



$$F_k = F_0 \pm kF_s$$

$$F_1 = F_0 - 1 = -\frac{7}{8}$$



## Example

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- Consider the analog signal  $x_a(t) = 3\cos(100\pi t)$
- **Q1:** Determine the minimum sampling rate required to avoid aliasing.
- **A1:**  $F_{s,min} = 2 \times F = 2 \times 50 = 100 \text{ Hz}$
  
- **Q2:** Suppose  $x_a(t)$  is sampled at  $F_s = 200 \text{ Hz}$ . What is the resulting DT signal?
- **A2:**  $x[n] = 3\cos\left(\frac{100\pi n}{200}\right) = 3\cos\left(\frac{\pi n}{2}\right)$
  
- **Q3:** Suppose  $x_a(t)$  is sampled at  $F_s = 75 \text{ Hz}$ . What is the resulting DT signal?
- **A3:**  $x[n] = 3\cos\left(\frac{100\pi n}{75}\right) = 3\cos\left(\frac{4\pi n}{3}\right) = 3\cos\left(\frac{2\pi n}{3}\right)$
  
- **Q4:** What is the frequency  $0 < F < F_s/2$  of an analog sinusoid that yields samples identical to those in Q3?
- **A4:** For the sampling rate  $F_s = 75 \text{ Hz}$ , we have  $F = f \times F_s = 75f$ . The frequency of the DT signal in Q3 is  $f = 1/3$ . So the frequency of the CT signal is  $F = 25 \text{ Hz}$  and the corresponding sinusoid is  $y_a(t) = 3\cos(50\pi t)$

# The Sampling Theorem

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- Need to know the maximum frequency content of a signal to sample it properly

- **Example:**  $x_a(t) = \sum_{i=1}^N A_i \sin(2\pi F_i(t)t + \theta_i(t))$

- Speech signal:  $N$  sinusoids, where  $F_{\max} = 3000$  Hz
- TV signal:  $N$  sinusoids, where  $F_{\max} = 5$  MHz

- If signal is not band limited to  $F_{\max}$ , we need to **low-pass filter it before sampling**
- To avoid ambiguities resulting from aliasing, must select

$$F_s > 2F_{\max}$$

- In this case, frequency  $F_i$  in the above sinusoid maps to

$$F_i \rightarrow f_i = \frac{F_i}{F_s} \text{ such that } -\frac{1}{2} < f_i < \frac{1}{2}$$

## The Sampling Theorem (cont'd)

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- **Sampling Theorem:** If the highest frequency in an analog signal  $x_a(t)$  is  $F_{\max} = B$  and the signal is sampled at  $F_s > 2F_{\max} \equiv 2B$ , then  $x_a(t)$  can be exactly recovered from its samples  $x_a[n]$  using the interpolation function  $g(t)$ , and  $x_a(t)$  can be written as follows:

**Interpolation function**

$$g(t) = \frac{\sin 2\pi Bt}{2\pi Bt}$$

**Reconstruction formula**

$$x_a(t) = \sum_{n=-\infty}^{\infty} x_a\left(\frac{n}{F_s}\right) g\left(t - \frac{n}{F_s}\right)$$

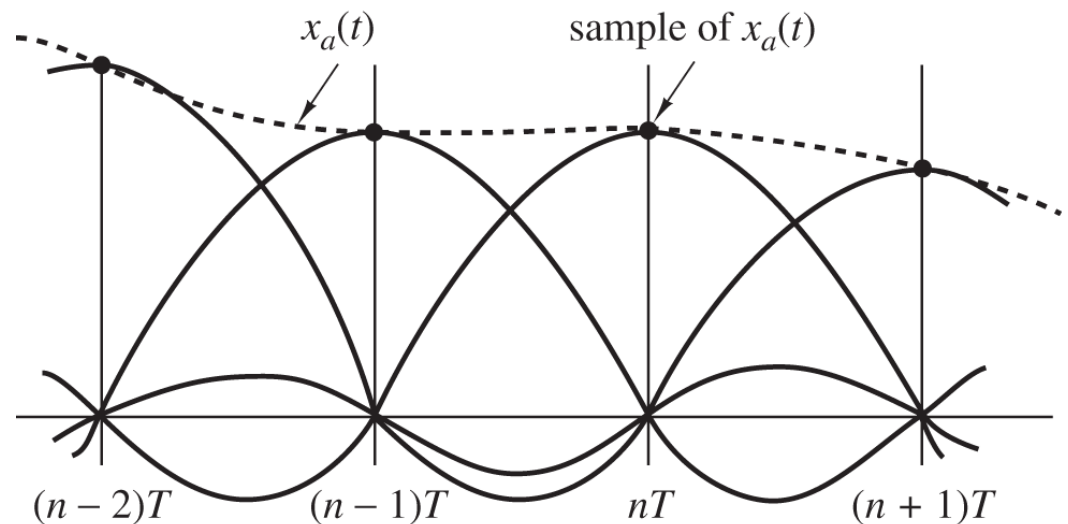
## The Sampling Theorem (cont'd)

- When the sampling is performed exactly at the minimum rate  $F_s = 2B$ , then

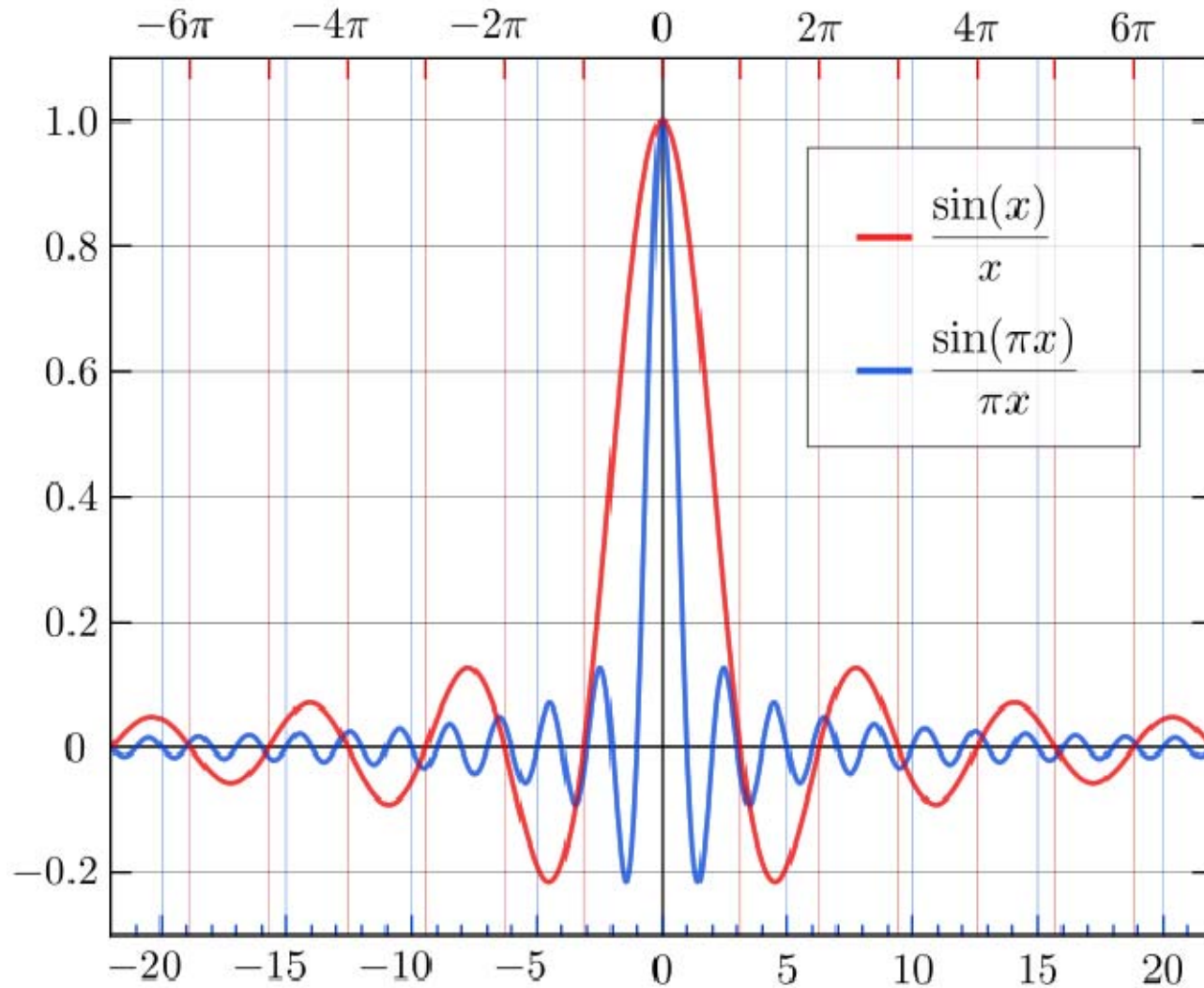
$$x_a(t) = \sum_{n=-\infty}^{\infty} x_a\left(\frac{n}{2B}\right) \frac{\sin 2\pi B(t - n/2B)}{2\pi B(t - n/2B)}$$

Nyquist rate:  $F_{Nyquist} = 2F_{max} = 2B$

Process called Ideal D/A conversion



# Sinc Function



*zero crossings of normalized sinc occur at non-zero integers*

## Example

$$x_a(t) = 3 \cos 2000\pi t + 5 \sin 6000\pi t + 10 \cos 12000\pi t$$

- **Q1:** What is the Nyquist rate?
- **A1:** The frequencies of  $x_a(t)$  are  $F_1 = 1$  kHz,  $F_2 = 3$  kHz,  $F_3 = 6$  kHz. Hence  $F_s$  must be at least  $2F_{\max} = 12$  kHz which is the Nyquist rate.

- **Q2:** Assume signal is sampled at  $F_s = 5000$  samp/s. What is the DT signal obtained?
- **A2:** Note here the choice of  $F_s$  is less than the Nyquist rate. Hence aliasing will occur. The maximum frequency that can be represented without aliasing is  $F_s/2 = 2.5$  kHz. This can be shown as well as follows:

$$\begin{aligned}x[n] &= x_a(nT) = x_a\left(\frac{n}{F_s}\right) \\&= 3 \cos 2\pi \frac{n}{5} + 5 \sin 2\pi \frac{3n}{5} + 10 \cos 2\pi \frac{6n}{5} \\&= 3 \cos 2\pi \frac{n}{5} + 5 \sin 2\pi \frac{3n}{5} + 10 \cos 2\pi \frac{n}{5} \\&= 13 \cos 2\pi \frac{n}{5} - 5 \sin 2\pi \frac{2n}{5}\end{aligned}$$

$$\Rightarrow f_1 = \frac{1}{5}, \quad f_2 = -\frac{2}{5}$$

- **Q3:** What is the analog signal  $y_a(t)$  that we can reconstruct from the samples if we use ideal interpolation?
- **A3:** The freq components present in the signal are  $F_1 = f_1 \cdot F_s = 1$  kHz and  $F_2 = f_2 \cdot F_s = 2$  kHz, the analog signal recovered is  $y_a(t) = 13 \cos 2000\pi t - 5 \sin 4000\pi t$



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## Quantization

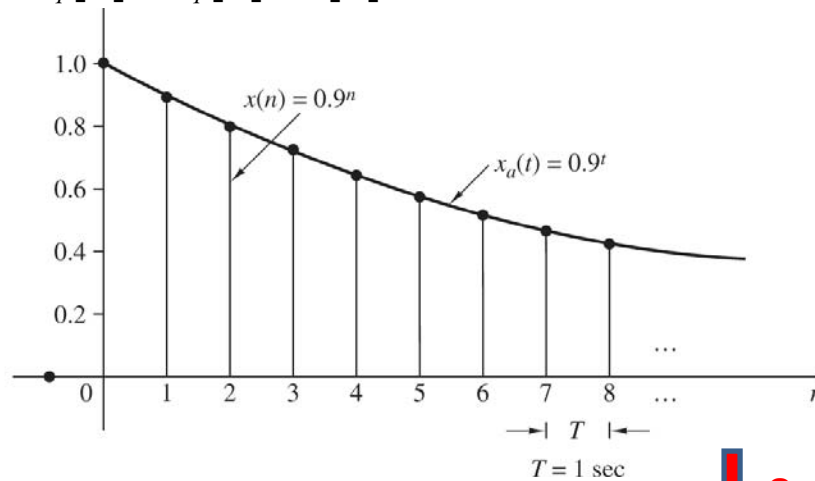
# Quantization of Continuous-Amplitude Signals

- Quantizer operation on the samples  $x[n]$ :

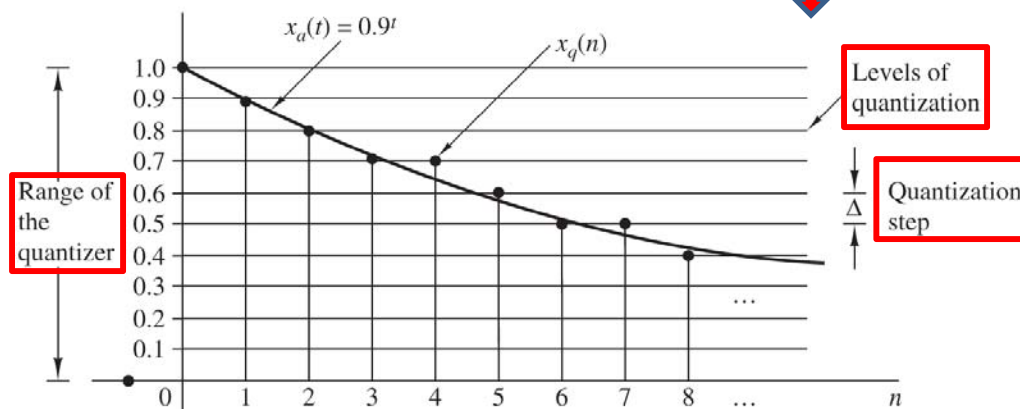
$$x_q[n] = Q(x[n])$$

- Quantization error:  $e_q[n] = x_q[n] - x[n]$

$$x[n] = \begin{cases} 0.9^n & n \geq 0 \\ 0 & n < 0 \end{cases}$$



**Quantization**



## Quantization of Continuous-Amplitude Signals (cont'd)

$n$	$x(n)$ Discrete-time signal	$x_q(n)$ (Truncation)	$x_q(n)$ (Rounding)	$e_q(n) = x_q(n) - x(n)$ (Rounding)
0	1	1.0	1.0	0.0
1	0.9	0.9	0.9	0.0
2	0.81	0.8	0.8	-0.01
3	0.729	0.7	0.7	-0.029
4	0.6561	0.6	0.7	0.0439
5	0.59049	0.5	0.6	0.00951
6	0.531441	0.5	0.5	-0.031441
7	0.4782969	0.4	0.5	0.0217031
8	0.43046721	0.4	0.4	-0.03046721
9	0.387420489	0.3	0.4	0.012579511



$x(n)$  requires  $n$  significant digits in order to be represented in full

## Quantization of Continuous-Amplitude Signals (cont'd)

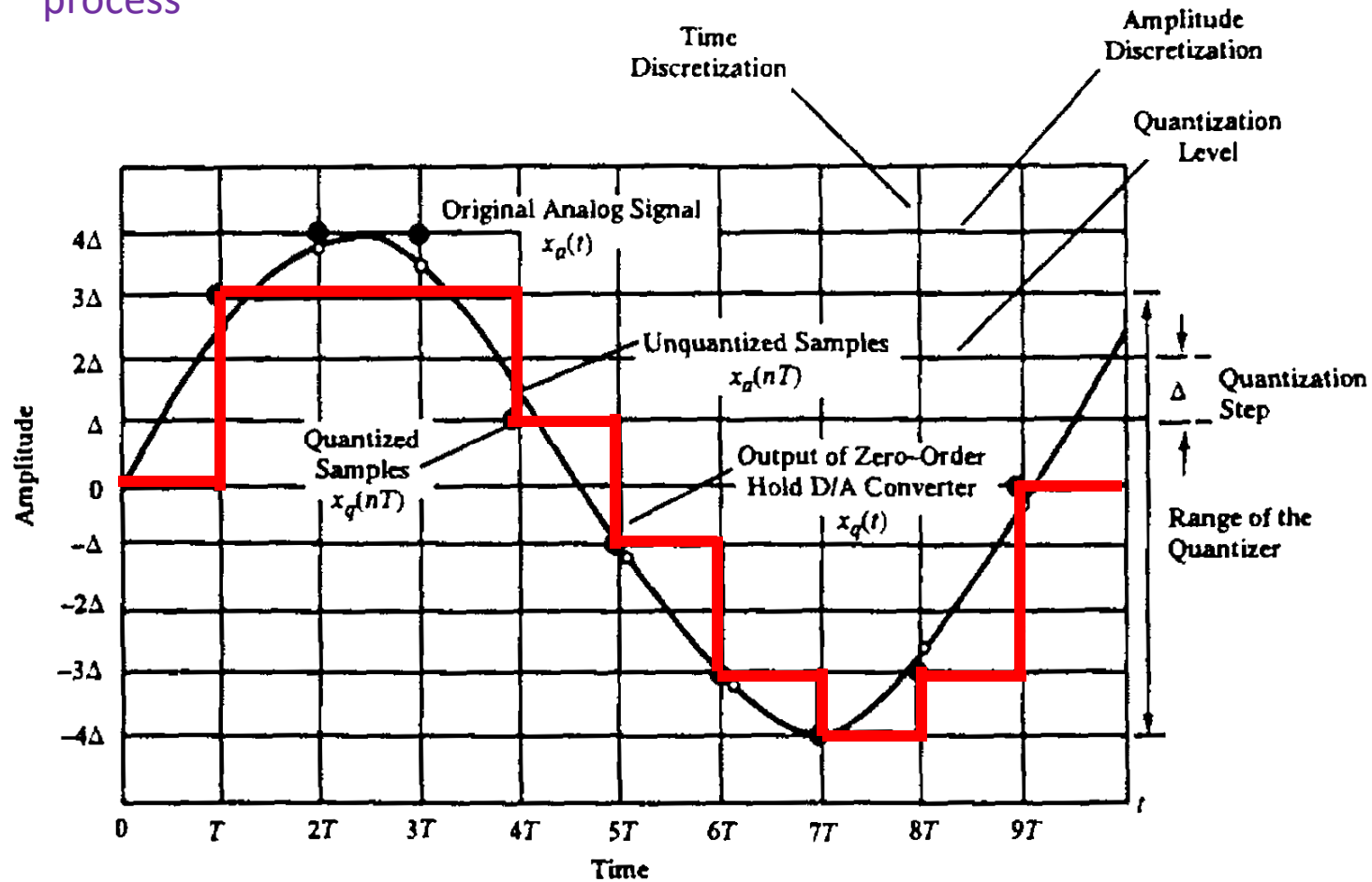
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- Assume want to retain  $d$  significant digits
  - Quantization by rounding: drop excess digits and round to nearest digit
  - Quantization by truncation : drop excess digits
- In previous example, retain only one significant digit
- Quantization levels  $L$ : Number of allowed values of a digital signal
- Quantization step  $\Delta$
- Quantization error for rounding is limited to the range

- $x[n]$ :
$$-\frac{\Delta}{2} \leq e_q[n] \leq +\frac{\Delta}{2}$$
  - $x_{max}$ : maximum value of  $x(n)$
  - $x_{min}$ : minimum value of  $x(n)$
  - Dynamic range:  $x_{max} - x_{min}$
  - Quantization step is determined by  $L$  and dynamic range:  $\Delta = \frac{x_{max} - x_{min}}{L - 1}$
- For a fixed dynamic range, increasing  $L$  decreases  $\Delta$ .
- Quantization is an irreversible process
  - The map  $Q(\cdot)$  is a many-to-one mapping since all samples within  $\Delta/2$  are assigned the same quantization level

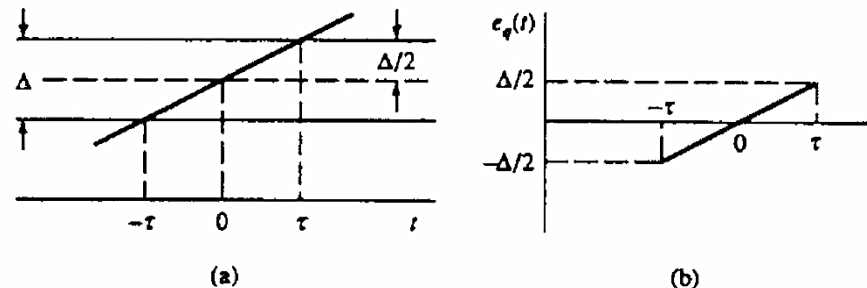
# Quantization of Sinusoidal Signals

- $x_a(t) = A \cos \Omega_0 t$ 
  - If  $F_s$  satisfies Nyquist rate, then quantization is the only error in the A/D process



## Quantization of Sinusoidal Signals (cont'd)

- Thus we can evaluate quantization error by quantizing the analog signal  $x_a(t)$  instead of the DT signal  $x[n]$ .
- From figure,  $x_a(t)$  is almost linear between quantization levels:



- $\tau$ : time that  $x_a(t)$  stays within the quantization levels
- Approximate quantization error as:  $e_q(t) = \left(\frac{\Delta}{2\tau}\right)t, \quad -\tau \leq t \leq \tau$
- Mean square error power  $P_q$ :

$$P_q = \frac{1}{2\tau} \int_{-\tau}^{\tau} e_q^2(t) dt = \frac{1}{2\tau} \int_0^{2\tau} \left(\frac{\Delta}{2\tau}\right)^2 t^2 dt = \frac{\Delta^2}{12}$$

- If the quantizer uses  $b$  bits of accuracy and it covers the entire range  $2A$ , the quantization step is  $\Delta = \frac{2A}{2^b}$  and hence

$$P_q = \frac{A^2}{3 \cdot 2^{2b}}$$

## Quantization of Sinusoidal Signals (cont'd)

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- Average power of the signal  $x_a(t) = A \cos \Omega_0 t$ :

$$P_x = \frac{1}{T_p} \int_0^{T_p} (A \cos \Omega_0 t)^2 dt = \frac{A^2}{2}, \quad \Omega_0 = 2\pi F_0 = \frac{2\pi}{T_p}$$

- Quality of an A/D converter is measured by the **signal-to-quantization noise ratio (SQNR)**

$$SQNR \triangleq \frac{P_x}{P_q} = \frac{3}{2} 2^{2b}$$

- Expressed in decibels (dB):

$$SQNR_{dB} = 10 \log_{10} (SQNR) = 1.76 + 6.02b$$

- SQNR increases by almost 6 dB for every bit added to the word length
- Note: The assumption was that the input signal was a sinusoid and the range of the quantizer spans the dynamic range of the signal. A similar result holds for every such signal, not necessarily a sinusoid, as will be shown later in the course
- **Example:** Most compact disc players use a sampling frequency of 44.1 kHz and 16-bit sample resolution, which implies an SQNR of 96 dB.

## Coding of Quantized Bits

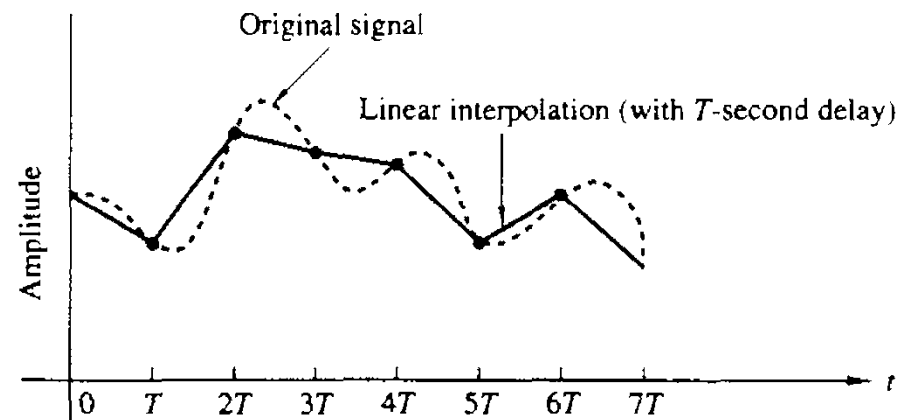
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- Coding process assigns a unique binary number to each quant. Level
- For  $L$  levels, we need  $L$  different binary numbers
- With a word length of  $b$  bits we can create  $2^b$  levels
  - Hence  $b \geq \log_2 L$
- Commercially available A/D converters may be obtained with a finite precision of 16 bits or less
- Generally, the higher the sampling speed and the finer the quantization, the more expensive the device becomes



# D/A Conversion

- Ideally, we should use the “sinc” interpolation function
  - Too complicated for practical purposes
  - Use sub-optimum interpolation techniques
- Can use **zero-order hold**: Hold constant the value of 1 sample until the next one
- **Linear interpolation**: Connect successive samples with straight line segments
  - Other higher order techniques



- Generally, sub-optimum interpolations result in passing frequencies above  $F_s/2$
- These undesirable frequencies must be removed by passing the output of the interpolator through a proper **analog** filter, called a **post-filter** or **smoothing filter**.